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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/995,100	11/27/2001	Kunio Hori	15111

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, NY 11530-0299

CONFIRMATION NO. 3176

FORMALITIES LETTER



\*OC000000007985521\*

Date Mailed: 04/30/2002

### NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicants:</b>	Kunio Hori, et al.	<b>Examiner:</b>	Unassigned
<b>Serial No.:</b>	09/995,100	<b>Art Unit:</b>	2877
<b>Filed:</b>	November 27, 2001	<b>Docket:</b>	15111
<b>For:</b>	METHOD FOR DETERMING POLYMORPHISM	<b>Dated:</b>	June 3, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO COMPLY UNDER 37 C.F.R. §1.821**

Sir:

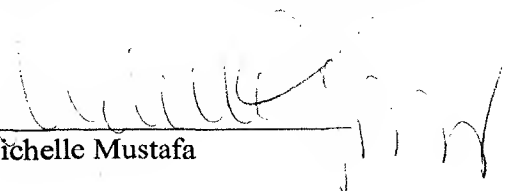
In response to the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures dated April 30, 2002, and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith a substitute paper and a substitute computer readable copy of the Sequence Listing, along with a Statement Under 37 C.F.R. §1.821(f), stating that these copies are identical. A copy of the Notice to Comply is also enclosed.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Dated: June 3, 2002

  
Michelle Mustafa

Applicants respectfully submit that the content of the paper and computer copies  
of the sequence listing do not introduce new matter.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Peter I. Bernstein", with a stylized flourish at the end.

Peter I. Bernstein  
Registration No. 43,497

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PIB:dg

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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
15111

In Re: Application Of: **Kunio Hori, et al.**

Serial No.  
09/995,100

Filing Date  
November 27, 2001

Examiner  
Unassigned

Group Art Unit  
2877

Title:  
**METHOD FOR DETERMINING POLYMORPHISM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Response to Notice of Comply under 37 C.F.R. 1.821**  
**Copy of Notice to Comply**  
**Statement under 37 C.F.R. 1.821(f)**  
**Substitute Computer Readable Copy of Sequence Listing**  
**Substitute Copy of Sequence Listing**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Signature

Dated: **June 3, 2002**

**Peter I. Bernstein**  
**Registration No. 43,497**  
**SCULLY, SCOTT, MURPHY & PRESSER**  
**400 Garden City Plaza**  
**Garden City, NY 11530**  
**(516) 742-4343**

I certify that this document and fee is being deposited  
on **June 3, 2002** with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

**Michelle Mustafa**

Typed or Printed Name of Person Mailing Correspondence

PIB:dg  
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